



European Labour Authority
DATA PROTECTION OFFICER

RECORD OF PROCESSING OPERATIONS ON PERSONAL DATA

DPR-ELA-2026-0002– ESSbot (AI powered chatbot on SSC) testing phase

1 PART 1: PUBLIC - RECORD (ARTICLE 31¹)**1.1 GENERAL INFORMATION**

| | |
|---|---|
| Record reference | DPR-ELA-2026-0002 |
| Title of the processing operation | ESSbot (AI powered chatbot on SSC) testing phase |
| Controller entity | European Labour Authority, Cooperation Support Unit (ELA COP Unit) |
| Joint controllers | <input checked="" type="checkbox"/> N/A <input type="checkbox"/> YES, fill in details below |
| Processor(s) | <input type="checkbox"/> N/A <input checked="" type="checkbox"/> YES, fill in details below |
| Internal organisation(s)/entity(ies) Names and contact details | <input checked="" type="checkbox"/> N/A <input type="checkbox"/> YES <i>Click here to enter text.</i> |
| External organisation(s)/entity(ies) Names and contact details | <input type="checkbox"/> N/A <input checked="" type="checkbox"/> YES Microsoft Ireland Operations Limited One Microsoft Place South County Business Park Leopardstown Dublin 18 D18 P521 Ireland Accenture Amsterdam Gustav Mahlerplein 90, Amsterdam, Netherlands, 1082 MA |
| Data Protection Officer Name and contact details | <i>Daniela Qatam Benetin</i> Landererova 12, 811 09 Bratislava I Slovakia Email: data-protection@ela.europa.eu |
| Corporate Record | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| DPO Notes | <i>During the testing phase, processing activities were performed with the support of an external contractor acting as processor.</i> <i>The processing agreement with the contractor expired on 20/11/2025. Notwithstanding this, the contractor continues to have restricted access to the system for a limited period to support transition, familiarisation with the tool, and closure of testing activities.</i> <i>No new processing activities have been initiated since the expiry of the contract, and access is being phased out.</i> |
| Language of the record | English |

¹ Pursuant to **article 31** of the new data protection regulation for EU institutions and bodies (**Regulation (EU) 2018/1725**) each controller and processor have to maintain a **record of processing activities** under its responsibility that contains at least the information listed under that article.

1.2 PURPOSE AND DESCRIPTION OF THE PROCESSING

1.2.1 Purpose

The goal in this phase is the creation of an AI tool that integrates **GenAI** and **RAG** technologies to answer user-testers' questions with none or minimal risks on providing wrong information, basing its answers solely on official documents at EU level collected for this purposes into a database ('knowledge base'). These documents do not contain personal information and are of professional character, concerning interpretation and application of EU rules on social security coordination ('SSC').

A Retrieval-Augmented Generation (RAG) solution for Knowledgebase Inquiry Management integrates information retrieval with natural language generation to efficiently address user queries by leveraging a blend of unstructured and structured knowledge sources, to provide accurate, context-aware responses by leveraging both internal documents and advanced AI language models. ESSbot is designed to address queries about the general interpretation and application of EU rules in an anonymised manner, i.e. it is not intended to handle individual cases or process personal claims..

Generative AI (GenAI) integrated with Retrieval Augmented Generation (RAG) knowledge management offers a transformative solution by combining the contextual understanding of AI with precise document-based knowledge retrieval.

For testing purposes and enhancing quality, the pilot phase will include a **feedback mechanism**, that will temporarily store the following information for further processing (SQL DB):

1. Question
2. Answer
3. List of references / resources (with hyperlinks)
4. Parts of the information the answers are based on
5. Name of question creator
6. Time and date
7. Country of the tester's expertise
8. Like / dislike
9. Comment
10. LLM for user interaction
11. System prompt from selected LLM configuration (AI Assistant)

ESSbot consists of two primary components: the **admin console** and the interactive **SharePoint knowledge management** site, each with distinct roles and access controls to ensure secure and efficient operation.

Admin console: The admin console is a secure interface available exclusively to ELA staff and, temporarily, to its contractor. It allows administrators to configure and manage the chatbot's settings, including adjusting the LLM's prompt and temperature settings to influence response generation. Admins also can grant roles to other users, such as testers, and control their access to various parts of the system. This includes managing access to the chatbot itself and overseeing the feedback mechanism, where feedback from testers is gathered to refine the system. The admin console's role-based access control ensures that only authorised internal staff can perform these administrative tasks, maintaining a secure environment for system management.

SharePoint knowledge management: The SharePoint site acts as the knowledge management platform for the pilot phase, with access and editing capabilities depending on the user's role. Testers, based on their permissions, can add new sources for the chatbot's scraper mechanism to download, enhancing the system's knowledge base. Testers can also access and delete content they have been granted permission to, but all their actions, such as content modifications or deletions, require approval by a content approver. This approval process ensures that only validated and relevant data are integrated into the knowledge base. The SharePoint platform fosters collaboration by allowing testers to contribute to the chatbot's knowledge while maintaining control over content integrity and accuracy.

Terms of use

Testers will be authenticated via Single Sign-On (SSO), allowing testers to access multiple applications and systems with a single set of login credentials. Authentication is performed using Microsoft Entra ID as the

Identity Provider, within the ELA's designated tenant. During the authentication process, access and authentication tokens are generated and processed exclusively for the purposes of user authentication, access control and system security. These tokens are processed only transiently and in a secure manner, in accordance with data minimisation and security-by-design principles. Authentication tokens are processed only for the duration of the user session and automatically expire thereafter.

In addition, Conditional Access policies are applied at the identity level to enhance security during the authentication process, including requirements such as Multi-Factor Authentication. Once authenticated, access to the ESSbot's functionalities is governed by Role-Based Access Control (RBAC), which restricts available features and permissions according to the user's assigned role.

| | | |
|--------------------------|--|--|
| Express consent | - Testers must provide consent before their personal data are used in specific applications. | All testers, whether internal or external staff, are required to provide consent prior to being granted access to the tool and its functionalities. |
| Data minimisation | - Personal data collection is limited to what is strictly necessary for operational and testing purposes. - Retention policies ensure data are not stored longer than required. | -The tool collects only the personal data necessary for its operation, e.g., <ul style="list-style-type: none"> • Comments from feedback. • Name of external experts. • Member State the externals have expertise in. • Audit logs |

1.2.2 Processing for further purposes

- ☐ Archiving in the public interest
- ☐ Scientific or historical research purposes
- ☐ Statistical purposes
- ☒ N/A

Safeguards in place to ensure data minimisation

- ☐ Pseudonymisation
- ☐ Any other, specify

[Click here to enter text.](#)

1.2.3 Modes of processing

1. ☒ Automated processing (Article 24)
 - a. ☒ Computer/machine
 - i. ☐ automated individual decision-making, including profiling
 - ii. ☒ Online form/feedback
 - iii. ☐ Any other, specify

[Click here to enter text.](#)
2. ☐ Manual processing
 - a. ☐ Word documents
 - b. ☐ Excel sheet
 - c. ☒ Any other, specify
Manual input of data
3. ☐ Any other mode, specify

Click here to enter text.

Description

As part of the personal data processing framework, a logging mechanism was implemented to ensure traceability and accountability throughout the user's account lifecycle. When a user account is created, key personal data — such as the user's email address and identifying credentials — are collected and securely stored. These data are automatically integrated into the Audit Logs, which capture login events, access patterns, and administrative interactions involving that account. The logs record personal data such as user identifiers, email addresses, IP addresses, and timestamps, making it possible to monitor system usage and detect anomalous or unauthorised behaviour. These records are essential for safeguarding user data and investigating incidents involving personal data breaches. Access to audit logs is strictly limited to authorised personnel through predefined Access Management protocols, ensuring that sensitive information, including email addresses and login metadata, remains protected against unauthorised access or tampering. Logged data are stored securely, subject to retention limits and encryption where appropriate, in line with data protection obligations.

Access to the platform's functionalities shall not be granted immediately upon user registration. Prior to obtaining access, each user must be formally approved by ELA's internal team for integration into the network environment. As a prerequisite, the user account must be registered as an external user within the ELA's designated tenant.

Following approval and account registration, users shall be required to authenticate their identity using Multi-Factor Authentication (MFA) as a mandatory condition for accessing the tool. This measure ensures compliance with ELA's security standards and mitigates the risk of unauthorised access.

Notwithstanding the granting of network access, access to specific functionalities shall remain subject to Role-Based Access Control (RBAC) protocols. These protocols enforce a secondary layer of security by defining and restricting user permissions based on pre-assigned roles, thereby determining which functionalities each user may access.

The main channel for submitting and temporarily storing personal data directly by the testers during the pilot phase, other than the previously mentioned above, is through the integrated feedback mechanism. Test users may engage with this feature via the chatbot's user interface by indicating whether a response was helpful (like/dislike) and by providing written comments. These interactions are temporarily stored on SharePoint for the purpose of improving the tool's performance and will be deleted upon completion of the testing phase.

1.2.4 Storage medium

1. ☐ Paper
2. ☒ Electronic
 - a. ☒ Digital (MS documents (Word, excel, PowerPoint), Adobe pdf, Audiovisual/multimedia assets, Image files (.JPEG, .PNG, etc.))
 - b. ☒ Databases
 - c. ☒ Servers
 - d. ☒ Cloud
3. ☐ External contractor premises
4. ☒ Others, specify
 - Email Integration
 - Aggregating of non-personal data

Description:

Audit logs are securely stored in centralised or cloud-based systems, encrypted at rest and in transit, access-restricted, and maintained under retention and deletion policies. These logs contain personal data (e.g., user email addresses and login timestamps), making their secure and compliant storage a legal requirement under the EUDPR (European Data Protection Regulation).

Databases created in MySQL

- **System database** - MySQL managed. Feedback is stored centrally in the System database (MySQL managed) to enable unified access via Power BI.
- **Core database** - managed by team, database for whole core structure (except chatbot application), there is only one instance of core database, since Admin tool and Copy functionality is shared across all chatbot applications.
- **AI Chatbot database** (per each instance) - managed by team, this is chatbot specific database, meaning that each new instance of chatbot application will contain its own database. Naming convention is based on the metadata (from UI part of Copy functionality in Admin – each chatbot database will have its unique suffix/prefix). The documents and sources of information utilised by ESSbot do not contain any personal data.

1.2.5 Comments on the processing of the data

The processing of the data serves as a core element of the project's development, playing a crucial role in improving the chatbot's performance. It will be used to refine the retrieval-augmented generation (RAG) framework, improving the tool's ability to accurately fetch relevant information from external sources. Both positive and negative feedback from testers is essential for evaluating and improving the system, facilitating iterative adjustments that influence the LLM-generated responses.

Virtual Network (VNet) Protection

All data are stored within a secure, private network called a Virtual Network (VNet). This network acts like a secure area where only authorised users can access the data.

Role-Based Access Control (RBAC)

Access to data is controlled through specific roles assigned to users. These roles determine what actions users can perform, ensuring that only those with the appropriate permissions can access or manage the data.

VPN Access for Data Management

To manage the data, users must connect to the secure network through a Virtual Private Network (VPN). This connection ensures that the data remain protected while being accessed or managed.

Personal data from testers to be processed:

Identification data - Firstname and surname of stakeholders.

Contact information, access and credentials - Email address.

Expertise information - Expertise of the tester – related to an EU Member State.

Access and permissions - Tester's roles and permissions in admin console and database (data and feature access).

Logs - Tester's interactions – Exclusively on adding new sources and deletion of sources.

Consent records - Documentation of tester consent for data processing, and communication preferences.

Feedback interaction - Tester's comments on ESSbot's response and context of the conversation.

Audit logs configuration - Access-related events but also logs generated by firewalls and security systems events

In the context of strengthening system oversight and security accountability, ELA undertook a structured configuration of audit logs to ensure comprehensive traceability of activities across both application and network layers. This involved enabling detailed logging mechanisms not only for user access and administrative actions, but also for firewall events and security system outputs, thereby creating a robust dataset for behaviour tracking, anomaly detection, and incident investigation. Access to these audit logs was tightly controlled through dedicated access management protocols, limiting visibility and modification rights to authorised personnel only, thus preserving the integrity and confidentiality of sensitive information, including security events and firewall-related activity. Furthermore, ELA ensured the systematic logging of administrative actions — such as configuration

changes, system-level updates, and security rule modifications — to establish a verifiable trail of accountability. These logs serve as a foundational element for post-incident review and reinforce governance over both user management and infrastructure-level operations.

Accenture:

In the context of the tool's risk assessment, Accenture acts as a data processor under the provisions outlined in Article 14.2 of the agreement (DIGIT/A3/PR/2018/035 – CLOUD II, DPS2 MC11 SOFIA – FWC DI-7980). The Contractor must comply with strict conditions regarding data access, retention, and international transfers, as well as support the Controller in case of data breaches or necessary audits. The processing activities are defined in the contract and are subject to prior approval by the Controller, ensuring alignment with GDPR and Regulation (EU) 2018/1725 requirements.

1.3 DATA SUBJECTS AND DATA CATEGORIES

1.3.1 Data subjects' categories

| | | | | |
|-----------------------------|--|--|------------------------------|---|
| 1. Internal to organisation | <input type="checkbox"/> N/A | | | |
| | <input checked="" type="checkbox"/> Yes | Data element | Location(s) | Who has access |
| | | Full name | SharePoint | KM Admin |
| | | Email address | Admin console/ SharePoint | Admin (AC), Power Admin (AC), KM Admin |
| | | Expertise information | SharePoint (feedback) | KM Admin |
| | | Roles and permissions | Admin console/SharePoint | Power Admin (AC), KM Admin |
| | | Interaction logs | SharePoint | KM Admin |
| | | Consent records | System level | ICT Admin |
| | | Feedback interaction | SharePoint | KM Admin |
| | | Access credentials | System level | ICT Admin |
| | | Audit log configuration | System-level (ICT logs) | ICT Admin |
| | 2. External to organisation | <input type="checkbox"/> N/A | | |
| | | <input checked="" type="checkbox"/> Yes | Full name | SharePoint |
| Email address | | | SharePoint | Content approver |

Roles and accesses:

ICT administrator – System/Azure resources
 Power administrator – Admin console (AC)/SharePoint (SP)
 Knowledge management (KM) administrator – SharePoint (SP)
 Administrator – Admin console (AC)
 Content approver – SharePoint (SP)

1.3.2 Data categories/fields

Indicate the categories of data that will be processed

Description:

| Data category | Type of data |
|---|--|
| Identification data | - First name and surname of stakeholders |
| Contact Information, access and credentials | - Email address |
| Expertise information | - Expertise of the tester – related to an EU Member State |
| Access and permissions | - Tester's roles and permissions in admin console and database (data and feature access) |
| Logs | - Tester's interactions – Exclusively on adding new sources and deletion of sources |
| Consent records | Documentation of tester consent for data processing, and communication preferences |
| Feedback interaction | - Tester's comments – on the ESSbot's response and context of the conversation |
| Audit logs configuration | Access-related events but also logs generated by firewalls and security systems events |

1.3.2.1 Special categories of personal data

Indicate if the processing operation concerns any 'special categories of data' which fall(s) under Article 10(1), which shall be prohibited unless any of the reasons under article 10(2) applies:

☐ Yes, the processing concerns the following special category(ies):

Data revealing

- ☐ racial or ethnic origin,
- ☐ political opinions,
- ☐ religious or philosophical beliefs,
- ☐ trade union membership,

Or/and,

- ☐ Genetic data, biometric data for the purpose of uniquely identifying a natural person,
- ☐ Data concerning health,
- ☐ Data concerning a natural person's sex life or sexual orientation.

☒ N/A

Description:

Click here to enter text.

If applicable, indicate the reasons under article 10(2) allowing the processing of the special categories of data:

- (a) ☐ The data subject has given explicit consent to the processing of those personal data for one or more specified purposes, [...].
- (b) ☐ Processing is necessary for the purposes of carrying out the obligations and exercising specific rights of the controller or of the data subject in the field of employment and social security [...].
- (c) ☐ Processing is necessary to protect the vital interests of the data subject or of another person where the data subject is physically or legally incapable of giving consent.
- (d) ☐ Processing is carried out in the course of its legitimate activities with appropriate safeguards by a non-profit-seeking body which constitutes an entity integrated in a Union institution or body and with a political, philosophical, religious or trade-union aim [...].
- (e) ☐ Processing relates to personal data which are manifestly made public by the data subject.
- (f) ☐ Processing is necessary for the establishment, exercise or defence of legal claims or whenever the Court of Justice of the European Union is acting in its judicial capacity.
- (g) ☐ Processing is necessary for reasons of substantial public interest, [...]
- (h) ☐ Processing is necessary for the purposes of preventive or occupational medicine, for the assessment of the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems and services [...].
- (i) ☐ Processing is necessary for reasons of public interest in the area of public health, such as protecting against serious cross-border threats to health or ensuring high standards of quality and safety of health care and of medicinal products or medical devices [...].
- (j) ☐ Processing is necessary for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes [...].

Additional information

Click here to enter text.

1.3.2.2 Data related to 'criminal convictions and offences'

| | |
|---|---|
| The data being processed contain sensitive data which fall(s) under Article 11 'criminal convictions and offences' | N/A <input checked="" type="checkbox"/> Yes <input type="checkbox"/> |
| Description: <i>Click here to enter text.</i> | |

1.4 RETENTION PERIOD

Indicate the administrative time limit(s) for keeping the personal data per data category, and if known, specify the start/end date, or describe the specific start/end moment of each time limit:

| Data category | Retention period |
|--------------------------|--|
| Identification data | Until the end of the pilot phase (and not beyond 31/12/2026) |
| Contact information | Until the end of the pilot phase (and not beyond 31/12/2026) |
| Expertise information | Until the end of the pilot phase (and not beyond 31/12/2026) |
| Access and permissions | Until the end of the pilot phase (and not beyond 31/12/2026) |
| System logs and metadata | Until the end of the pilot phase (and not beyond 31/12/2026) |
| Feedback interactions | Until the end of the pilot phase (and not beyond 31/12/2026) |

Description

All personal data will be stored exclusively for testing purposes during the pilot phase of the project. These data serve as a core element of the project's development, playing a crucial role in improving the chatbot's performance. It will be used to refine the retrieval-augmented generation (RAG) framework, improving the tool's ability to accurately fetch relevant legal information from external sources. Both positive and negative feedback from users is essential for evaluating and improving the system, facilitating iterative adjustments that influence the LLM-generated responses.

The feedback will directly inform the optimisation of the ESSbot by adjusting its ability to understand and process complex social security coordination queries. Feedback is used to refine the retrieval-augmented generation (RAG) framework through prompt updates, retrieval optimisation and configuration adjustments ensuring that the retrieval mechanism effectively matches queries with the most accurate legal sources. This will optimise the system's capacity to provide precise, contextually relevant, and user-satisfactory responses. The continuous integration of feedback will help improve the model's robustness, ensuring that the chatbot can handle increasingly sophisticated queries and deliver more reliable answers.

All personal data collected, as mentioned in section 1.3.2, will be safely stored and deleted by the end of the pilot phase (and not beyond 31/12/2026).

1.5 RECIPIENTS

| Origin of the recipients of the data | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|---|---|---|--------------------------|---|----------------|-----------|------------|---------------|---------------|--------------------------|---|-----------------------|-----------------------|---------------|---------------------|--------------------------|---------------------------------|------------------|------------|---------------|-----------------|--------------|-----------|----------------------|------------|---------------|--------------------|--------------|-----------|-------------------------|-------------------------|-----------|
| 1. <input checked="" type="checkbox"/> Within the EU organisation | 1. Internal to organisation | <input type="checkbox"/> N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | <input checked="" type="checkbox"/> Yes | <table border="1"> <thead> <tr> <th>Data Element</th> <th>Location(s)</th> <th>Who Has Access</th> </tr> </thead> <tbody> <tr> <td>Full Name</td> <td>SharePoint</td> <td>KM Admin (SP)</td> </tr> <tr> <td>Email Address</td> <td>Admin Console/SharePoint</td> <td>Admin (AC), Power Admin (AC), KM Admin (SP)</td> </tr> <tr> <td>Expertise Information</td> <td>SharePoint (feedback)</td> <td>KM Admin (SP)</td> </tr> <tr> <td>Roles & Permissions</td> <td>Admin Console/SharePoint</td> <td>Power Admin (AC), KM Admin (SP)</td> </tr> <tr> <td>Interaction Logs</td> <td>SharePoint</td> <td>KM Admin (SP)</td> </tr> <tr> <td>Consent Records</td> <td>System level</td> <td>ICT Admin</td> </tr> <tr> <td>Feedback Interaction</td> <td>SharePoint</td> <td>KM Admin (SP)</td> </tr> <tr> <td>Access Credentials</td> <td>System level</td> <td>ICT Admin</td> </tr> <tr> <td>Audit Log Configuration</td> <td>System-level (ICT logs)</td> <td>ICT Admin</td> </tr> </tbody> </table> | Data Element | Location(s) | Who Has Access | Full Name | SharePoint | KM Admin (SP) | Email Address | Admin Console/SharePoint | Admin (AC), Power Admin (AC), KM Admin (SP) | Expertise Information | SharePoint (feedback) | KM Admin (SP) | Roles & Permissions | Admin Console/SharePoint | Power Admin (AC), KM Admin (SP) | Interaction Logs | SharePoint | KM Admin (SP) | Consent Records | System level | ICT Admin | Feedback Interaction | SharePoint | KM Admin (SP) | Access Credentials | System level | ICT Admin | Audit Log Configuration | System-level (ICT logs) | ICT Admin |
| | | | Data Element | Location(s) | Who Has Access | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Full Name | SharePoint | KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Email Address | Admin Console/SharePoint | Admin (AC), Power Admin (AC), KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Expertise Information | SharePoint (feedback) | KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Roles & Permissions | Admin Console/SharePoint | Power Admin (AC), KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Interaction Logs | SharePoint | KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Consent Records | System level | ICT Admin | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Feedback Interaction | SharePoint | KM Admin (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Access Credentials | System level | ICT Admin | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Audit Log Configuration | System-level (ICT logs) | ICT Admin | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2. External to organisation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | <input type="checkbox"/> N/A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | <input checked="" type="checkbox"/> Yes | Full Name | SharePoint | Content Approver (SP) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Email Address | | SharePoint | Content Approver | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ELA staff on a need-to-know basis | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. <input checked="" type="checkbox"/> Outside the EU organisation | External contractors' staff on a need-to-know basis | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | The contractor will have access to all personal data elements until the end of their contract, except for <u>access credentials</u> , <u>audit logs</u> , and <u>consent records</u> , which will remain under the exclusive control of the organisation's internal ICT administrators. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| Categories of the data recipients | |
|---|--|
| 1. <input checked="" type="checkbox"/> A natural or legal person | |
| 2. <input checked="" type="checkbox"/> Public authority | |
| 3. <input checked="" type="checkbox"/> Agency | |
| 4. <input checked="" type="checkbox"/> Any other third party, specify | Microsoft (Cloud service provider) Accenture |
| Specify who has access to which parts of the data: | |
| Data category | Type of data |
| Identification data | - Full name of stakeholders |
| Contact information, access and credentials | - Email address |
| Expertise information | - Expertise of the tester – related to an EU Member State |
| Access and permissions | - Tester's roles and permissions in admin console and database (data and feature access) |

| | |
|--------------------------------------|--|
| Logs | - Tester's interactions – Exclusively on adding new sources and deletion of sources |
| Consent records (1)* | Documentation of tester consent for data processing, and communication preferences |
| Feedback interaction | - Tester's comments –on the ESSbot responses and context of the conversation |
| Audit logs configuration (1)* | Access-related events but also logs generated by firewalls and security systems events |

ELA Resources Unit – ICT sector.
ELA Cooperation support Unit – Information and Services sector.

External contractor (Accenture) - Access until the contract expires.

(1)* Exclusive to internal ELA's ICT sector.

1.6 INTERNATIONAL DATA TRANSFERS

| Transfer to third countries or international organisations of personal data | |
|---|----------------------------------|
| 1. Transfer outside of the EU or EEA <input checked="" type="checkbox"/> N/A, transfers do not occur and are not planned to occur <input type="checkbox"/> YES, | |
| Country(ies) to which the data is transferred | <i>Click here to enter text.</i> |
| 2. Transfer to international organisation(s) <input checked="" type="checkbox"/> N/A, transfers do not occur and are not planned to occur <input type="checkbox"/> Yes, specify further details about the transfer below | |
| Names of the international organisations to which the data are transferred | |
| 3. Legal base for the data transfer <input type="checkbox"/> Transfer on the basis of the European Commission's adequacy decision (Article 47) <input type="checkbox"/> Transfer subject to appropriate safeguards (Article 48.2 and .3), specify: <ul style="list-style-type: none"> 2. (a) <input type="checkbox"/> A legally binding and enforceable instrument between public authorities or bodies. Standard data protection clauses, adopted by <ul style="list-style-type: none"> (b) <input type="checkbox"/> the Commission, or (c) <input type="checkbox"/> the European Data Protection Supervisor and approved by the Commission, pursuant to the examination procedure referred to in Article 96(2). (d) <input type="checkbox"/> Binding corporate rules, <input type="checkbox"/> Codes of conduct, <input type="checkbox"/> Certification mechanism pursuant to points (b), (e) and (f) of Article 46(2) of Regulation (EU) 2016/679, where the processor is not a Union institution or body. | |

3. Subject to the authorisation from the European Data Protection Supervisor:

- ☐ Contractual clauses between the controller or processor and the controller, processor or the recipient of the personal data in the third country or international organisation.
- ☐ Administrative arrangements between public authorities or bodies which include enforceable and effective data subject rights.

☐ Transfer based on an **international agreement** (Article 49), specify
[Click here to enter text.](#)

4. Derogations for specific situations (Article 50.1 (a) –(g))

☒ N /A

☐ Yes, derogation(s) for specific situations in accordance with article 50.1 (a) –(g) apply (ies).

In the absence of an adequacy decision, or of appropriate safeguards, transfer of personal data to a third country or an international organisation is based on the following condition(s):

- (a) ☐ The data subject has explicitly consented to the proposed transfer, after having been informed of the possible risks of such transfers for the data subject due to the absence of an adequacy decision and appropriate safeguards
- (b) ☐ The transfer is necessary for the performance of a contract between the data subject and the controller or the implementation of pre-contractual measures taken at the data subject's request
- (c) ☐ The transfer is necessary for the conclusion or performance of a contract concluded in the interest of the data subject between the controller and another natural or legal person
- (d) ☐ The transfer is necessary for important reasons of public interest
- (e) ☐ The transfer is necessary for the establishment, exercise or defence of legal claims
- (f) ☐ The transfer is necessary in order to protect the vital interests of the data subject or of other persons, where the data subject is physically or legally incapable of giving consent
- (g) ☐ The transfer is made from a register which, according to Union law, is intended to provide information to the public and which is open to consultation either by the public in general or by any person who can demonstrate a legitimate interest, but only to the extent that the conditions laid down in Union law for consultation are fulfilled in the particular case

Description**1.7 INFORMATION TO DATA SUBJECTS ON THEIR RIGHTS****Rights of the data subjects**

Article 17 – Right of access by the data subject

Article 18 – Right to rectification

Article 19 – Right to erasure (right to be forgotten)

Article 20 – Right to restriction of processing

Article 21 – Notification obligation regarding rectification or erasure of personal data or restriction of processing

Article 22 – Right to data portability

Article 23 – Right to object

Article 24 – Rights related to Automated individual decision-making, including profiling

1.7.1 Privacy statement

☒ The data subjects are informed about their rights and how to exercise them in the form of a privacy statement attached to this record.

Publication of the privacy statement

☒ Published on website

Web location:

- ELA internal website ☒ (URL: *Click here to enter text.*)
- External website ☐ (URL: *Click here to enter text.*)

☐ Other form of publication, specify

Click here to enter text.

☒ Guidance for data subjects which explains how and where to consult the privacy statement is available and will be provided at the beginning of the processing operation.

Description:

Click here to enter text.

1.8 SECURITY MEASURES

Short summary of overall technical and organisational measures implemented to ensure information security:

All data in electronic format (emails, documents, uploaded batches of data etc.) are stored either on the servers of the European Labour Authority or of its contractors.

The European Labour Authority's contractors are bound by a specific contractual clause for any processing operations of personal data on behalf of the European Labour Authority, and by the confidentiality obligations deriving from the General Data Protection Regulation.

To protect personal data, the European Labour Authority has put in place a number of technical and organisational measures. Technical measures include appropriate actions to address online security, risk of data loss, alteration of data or unauthorised access, taking into consideration the risk presented by the processing and the nature of the personal data being processed.

Organisational measures include restricting access to the personal data solely to authorised persons with a legitimate need to know for the purposes of this processing operation.